



Instruction Sheet for the ID Request Form

TOP SECTION:

Date: Print the date of this request
Located in: Print the name of the city in which the applicant is currently working
ID: Reserved for MX
Date Assigned: Reserved for MX

SECTION A:

Indicate whether the applicant is an **Employee** or a **Client** of the Approved Participant.

Employee: Person who is approved by the Bourse as a SAM Authorized Person.

Client: Person who is not an employee of the Approved Participant and who satisfies the Article 6366B conditions of MX Rule Six.

Print the applicant's identification and contact information.

Name of Approved Participant: Print the name of the Approved Participant requesting the ID.

Products: Select the product type(s) to be traded with this ID.

SECTION B:

Print the name of the electronic trading application for which this ID will be used. The application must be MX certified.

SECTION C:

The ID Request Form must be dated and signed by the applicant.

SUB-SECTION C1: MANDATORY

This sub-section is to be completed and signed by a Partner or an Authorized Officer of the Approved Participant.

SUB-SECTION C2: OPTIONAL

Complete this sub-section **ONLY** if the MX ID is being requested by or for an Approved Participant other than the one listed in sub-section C1.

Example: User X, employee of an Approved Participant (Approved Participant A), requests an ID as a Client of another Approved Participant (Approved Participant B). In this situation, the Approved Participant A needs to complete sub-section C1 and Approved Participant B needs to complete sub-section C2. In section A, the applicant will need to select 'Client'.

APPLICANT'S COMMENTS:

An applicant already having one or more ID(s) must include these IDs in this section as well as indicate the reason for the current request for an additional MX ID.

If sub-section C2 was completed, additional details pertaining to the reason for the request are required and must be included in this section.

This section could also be used by an applicant wishing to include any notes or special comments regarding the request.

APPROVED BY MX REGULATORY DIVISION:

This field is reserved for MX Regulatory Division.